

U. S. NUCLEAR REGULATORY COMMISSION

Agency Information Collection Activities: Submission for the Office of Management and Budget (OMB) Review; Comment Request

AGENCY: U. S. Nuclear Regulatory Commission (NRC)

ACTION: Notice of the OMB review of information collection and solicitation of public comment.

SUMMARY: The NRC has recently submitted to OMB for review the following proposal for the collection of information under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35). The NRC hereby informs potential respondents that an agency may not conduct or sponsor, and that a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

1. Type of submission, new, revision, or extension: Extension
2. The title of the information collection: NRC Form 531 "Request for Taxpayer Identification Number"
3. The form number if applicable: NRC Form 531

4. How often the collection is required: One time from each applicant or individual to enable the Department of the Treasury to process electronic payments or collect debts owed to the Government. .
5. Who will be required or asked to report: All individuals doing business with the U.S. Nuclear Regulatory Commission, including contractors and recipients of credit, licenses, permits, and benefits.
6. An estimate of the number of annual responses: 300
7. The estimated number of annual respondents: 300
8. An estimate of the total number of hours needed annually to complete the requirement or request: 25 hours (5 minutes per respondent.)
9. An indication of whether Section 3507(d), Pub. L. 104-13 applies: N/A
10. Abstract: The Debt Collection Improvement Act of 1996 requires that agencies collect taxpayer identification numbers (TINs) from individuals who do business with the Government, including contractors and recipients of credit, licenses, permits, and benefits. The TIN will be used to process all electronic payments (refunds) made to licensees by electronic funds transfer by the Department of the Treasury. The Department of the Treasury will use the TIN to determine whether the refund can be used to administratively offset any delinquent debts reported to the Treasury by other government agencies. In addition, the TIN will be used to collect and report to the Department of the Treasury

any delinquent indebtedness arising out of the licensee's or applicant's relationship with the NRC.

A copy of the final supporting statement may be viewed free of charge at the NRC Public Document Room, One White Flint North, 11555 Rockville Pike, Room O-1 F21, Rockville, MD 20852. OMB clearance requests are available at the NRC worldwide web site:

<http://www.nrc.gov/public-involve/doc-comment/omb/index.html>. The document will be available on the NRC home page site for 60 days after the signature date of this notice.

Comments and questions should be directed to the OMB reviewer listed below by (insert date 30 days after publication in the Federal Register). Comments received after this date will be considered if it is practical to do so, but assurance of consideration cannot be given to comments received after this date.

John A. Asalone
Office of Information and Regulatory Affairs (3150-0188)
NEOB-10202
Office of Management and Budget
Washington, DC 20503

Comments can also be e-mailed to [John A. Asalone@omb.eop.gov](mailto:John.A.Asalone@omb.eop.gov) or submitted by telephone at (202) 395-4650.

The NRC Clearance Officer is Brenda Jo. Shelton, 301-415-7233.

Dated at Rockville, Maryland, this 1st day of September, 2006.

For the Nuclear Regulatory Commission.

/RA/
Brenda Jo. Shelton, NRC Clearance Officer
Office of Information Services

FINAL SUPPORTING STATEMENT
FOR
NRC FORM 531 "REQUEST FOR TAXPAYER
IDENTIFICATION NUMBER"
OMB 3150-0188 EXTENSION

Description of Information Collection

The Debt Collection Improvement Act of 1996 (P.L. 104-134) was signed into law on April 26, 1996. Under this law, Federal agencies are required to collect Taxpayer Identification Numbers (TINs) from all persons who do business with the government. NRC Form 531 is used to collect TINs and information sufficient to identify the licensee or applicant for licenses, certificates, approvals and registrations. In 1997, requests for TINs were mailed to licensees in the vendor database for which there was no TIN on file. Based on experience, 300 requests for TINs will be mailed to new licensees each year.

A. JUSTIFICATION

1. Need for and Practical Utility of the Collection of Information

The Debt Collection Improvement Act of 1996 requires all Federal agencies to obtain TINs from all persons who do business with the Government including contractors and recipients of credit, licenses, permits, and benefits.

2. Agency Use of Information

The TIN will be requested from all licensees and applicants for which we have not previously collected a TIN. The TIN will be entered into the vendor file of the Federal Financial System (FFS), the NRC accounting system. The TIN will be used on payments (refunds) made to licensees by electronic funds transfer by the Department of the Treasury. The Department of the Treasury will use the TIN to determine whether the refund can be used to administratively offset any delinquent debts reported to the Treasury by other government agencies. In addition, the TIN will be used to collect and report to the Department of the Treasury any delinquent indebtedness arising out of the licensee's or applicant's relationship with the NRC.

3. Reduction of Burden Through Information Technology

There are no legal obstacles to reducing the burden associated with this information collection. The NRC encourages respondents to use new automated information technology when it would be beneficial to them. NRC issued a regulation on October 10, 2003 (68 FR 58791), consistent with the Government Paperwork Elimination Act, which allows its licensees, vendors, applicants, and members of the public the option to make submissions electronically via CD-ROM, e-mail, special Web-based interface, or other means. Requests which are not for personal records that require verification of identity of the requester are being accepted by electronic mail and facsimile transmission in addition to mail and in-person written request. It is anticipated that 5 percent of all requests will be submitted electronically. The Request For Taxpayer Identification Number, NRC Form 531, is presently on NRC's Website where it is electronically

accessible to the licensees.

4. Effort to Identify Duplication and Use Similar Information

The collection of the information required is not a duplication of other information the States must submit for other purposes because the information requested is strictly related to observation or participation in NRC inspection activities. No sources of similar information are available and there is no duplication of requirements. NRC has in place an on-going program to examine all information collections with the goal of eliminating all duplication and/or unnecessary information collections.

5. Effort to Reduce Small Business Burden

Approximately 1,000 NRC licensees are small businesses. The collection is minimal and is only requested one time to issue payment to licensees and applicants.

6. Consequences to Federal Program or Policy Activities if the Collection is not Conducted or is Conducted Less Frequently

The impact to the NRC of not conducting or conducting the collection of information less frequently will result in licensees and applicants not receiving payment due them from the NRC and will result in the Department of the Treasury being unable to perform administrative offset of delinquent debts. The frequency cannot be reduced because this is a one-time request for information from the public.

7. Circumstances Which Justify Variation from OMB Guidelines

This request does not vary from OMB guidelines.

8. Consultations Outside the NRC

The opportunity for public comment on the information collections was published in the Federal Register on June 2, 2006 (71 FR 32143). No comments were received.

9. Payment or Gift to Respondents.

Not applicable.

10. Confidentiality of Information

Confidential and proprietary information is protected in accordance with NRC regulations at 10 CFR 9.17(a) and 10 CFR 2.390(b).

11. Justification for Sensitive Questions

Not applicable

12. Estimate of Annualized Burden and Burden Hour Cost

The estimated time to complete the form is five minutes. The annual number of requests for TINs is 300. The estimated annual burden is 25 hours (.083 hr x 300 TINs). The public cost is computed as follows: 25 hours x \$201 per hour equals \$5,025.

13. Estimate of Other Additional Costs

None

14. Estimated Annualized Cost to the Federal Government

The estimated annual cost to the Federal Government for data entry is \$5,005
(5 minutes per form x 300 x \$201) or $300 \times .083 \times \$201 = \$5,005$.

15. Reasons for Change in Burden or Cost

There is no burden change; however, the cost has increased because of the increase in the hourly rate from \$157 to \$201.

16. Publication for Statistical Use

This information will not be published for statistical use.

17. Reason for Not Displaying the Expiration Date

The expiration date will be displayed.

18. Exceptions to the Certification Statement

There are no exceptions.

B. COLLECTION OF INFORMATION EMPLOYING STATISTICAL METHODS

Not applicable.

Estimated burden per response to comply with this mandatory collection request: 5 minutes. The information is required by NRC to process payments to contractors. Send comments regarding burden estimate to the Records and FOIA/Privacy Service Branch (T-5 F52), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to infocollects@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0188), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

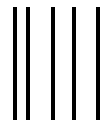
PRIVACY ACT STATEMENT

Pursuant to 5 U.S.C. 552a(e)(3), enacted into law by Section 3 of the Privacy Act of 1974 (Public Law 93-579), the following statement is furnished to individuals who supply information to the Nuclear Regulatory Commission (NRC) on NRC Form 531. This information is maintained in a system of records designated as NRC-32 and described at 67 Federal Register 63796 (October 15, 2002), or the most recent Federal Register publication of the NRC's "Republication of Systems of Records Notices" that is available at the NRC Public Document Room, 11555 Rockville Pike, Rockville, Maryland, and located in the NRC's Agencywide Documents Access and Management System (ADAMS).

- AUTHORITY:** 5 U.S.C. 552a(b)(12) (1999); 5 U.S.C. 5514 (1996); 15 U.S.C. 1681a(f) (1970); 26 U.S.C. 6103(m)(2) (2002); 31 U.S.C. 37, subchapters I and II; 31 U.S.C. 3701(a)(3) (2001); 31 U.S.C. 3711 (1996); 31 U.S.C. 3716 (1999); 31 U.S.C. 3717 (1996); 31 U.S.C. 3718 (1997); 31 U.S.C. 3720A (1996); 42 U.S.C. 2201 (1992); 42 U.S.C. 5841 (1996); Cash Management Improvement Act Amendments of 1992 (Pub. L. 102-589); Debt Collection Improvement Act of 1996 (Public Law 104-134); 31 CFR Chapter IX, Parts 900-904; 10 CFR parts 15 (2002), 16 (1991), 170 and 171; Executive Order 9397, November 22, 1943; section 201 of Executive Order 11222.
- PRINCIPAL PURPOSE(S):** To obtain taxpayer identification number/social security number/individual taxpayer identification number to enable the NRC to collect delinquent debts.
- ROUTINE USES:** Information from this form may be transmitted to: debt collection contractors and/or Federal agencies for collecting delinquent debts and/or collection action on such debts; any Federal, State or local agencies to conduct authorized computer matching programs and to credit reporting agencies; Treasury on past due nontax debts for the purpose of locating the debtor and/or effecting offset under Treasury Offset Program. Information may be publicly disseminated regarding the identity of delinquent nontax debtors and the existence of nontax debts under the provisions of the Debt Collection Improvement Act of 1996. The information may also be disclosed to an appropriate Federal, State, local or Foreign agency in the event the information indicates a violation or potential violation of law and in the course of an administrative or judicial proceeding. In addition, this information may be transferred to an appropriate Federal, State, local and Foreign agency to the extent relevant and necessary for an NRC decision about you or to the extent relevant and necessary for that agency's decision about you. Information from this form may also be disclosed, in the course of discovery under a protective order issued by a court of competent jurisdiction, and in presenting evidence, to a Congressional office to respond to their inquiry made at your request, or to NRC-paid experts, consultants, and others under contract with the NRC, on a need-to-know basis.
- WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY AND EFFECT ON INDIVIDUAL OF NOT PROVIDING INFORMATION:** It is mandatory that you furnish the requested information pursuant to the Debt Collection Improvement Act of 1996 (Public Law 104-134). If you do not provide the requested information, we may no longer be able to conduct business with you, and if you are due a refund, you may give up your rights to your refund.
- SYSTEM MANAGER AND ADDRESS:** Director, Division of Financial Management, Office of the Chief Financial Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001.

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555-0001

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OFFICE OF THE CHIEF FINANCIAL OFFICER
U S NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20277-2904



REQUEST FOR TAXPAYER IDENTIFICATION NUMBER

In accordance with the Debt Collection Improvement Act of 1996, you are required to provide your taxpayer identification number. This number may be used to make payments (refunds) or for purposes of collecting and reporting on any delinquent amounts arising out of your relationship with the Federal Government

Please complete the applicable blocks and fold the card so that this section is inside and the NRC address appears on the outside. Seal it with tape and return it to the NRC. Thank you for your assistance and cooperation. If you have any questions, please contact us. Our telephone number is 301-415-7554.

Indicate the status of your business:

- | | | |
|--------------------------------------|--|--|
| <input type="checkbox"/> CORPORATION | <input type="checkbox"/> CITY/STATE GOVERNMENT | <input type="checkbox"/> INDIVIDUAL |
| <input type="checkbox"/> PARTNERSHIP | <input type="checkbox"/> FEDERAL GOVERNMENT | <input type="checkbox"/> OTHER (Specify) |

**TAXPAYER IDENTIFICATION NUMBER,
SOCIAL SECURITY NUMBER, OR
INDIVIDUAL TAXPAYER IDENTIFICATION
NUMBER**

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NRC LICENSE NUMBER(S)

SIGNATURE - TAXPAYER REPRESENTATIVE	NRC LICENSEE OR APPLICANT
ADDRESS	
TELEPHONE NUMBER	E-MAIL ADDRESS

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555-0001

OFFICIAL BUSINESS